

12/11/01

1129 U.S. PTO

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XPRESS MAIL NO.: EL924206009US
ATTORNEY DOCKET NO. 21101.0004U3
SERIAL NO. Unassigned
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SegCONTINUING APPLICATION TRANSMITTAL FORM
(37 C.F.R. § 1.53(b))

ANTICIPATED CLASSIFICATION OF THIS APPLICATION:

PRIOR APPLICATION: 09/305,588

CLASS: 514 SUBCLASS:

EXAMINER: Holly Schnizer

ART UNIT: 1653

To the Assistant Commissioner for Patents:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/305,588 filed on May 5, 1999, of Susan C. Bock, Veronique Picard and Pedram Zendehtrouh for "HUMAN ANTITHROMBIN IIIS AND METHODS RELATED THERETO".

If any extension of time is necessary for the filing of this application, including any extension of time necessary in the prior application for maintaining copendency between the prior application and this application, and such extension has not otherwise been requested, Applicant hereby petitions for such an extension in the prior application.

1. Enclosed is a specification as prescribed by 35 U.S.C. § 112 containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75, and any drawing required by § 1.81(a).

2. The inventor(s) of the invention being claimed in this application is(are): Susan C. Bock, Veronique Picard and Pedram Zendehtrouh

3A. ☒ This application is a continuation or divisional application (not a continuation-in-part) that:

- (i) names as inventors the same or fewer than all of the inventors named in the prior application; and
- (ii) contains no matter that would have been new matter in the prior application; and
- (iii) a copy of the executed oath or declaration filed in the prior application, showing the signature or an indication thereon that it was signed:

☒ is enclosed.
☐ will follow.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.53(d)(4), the Commissioner is requested to delete the name(s) of the following persons who are not inventors of the invention being claimed in this application:

--OR--

3B. ☐ This application is a continuation-in-part application, or a continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:

☐ is enclosed.
☐ will follow.

4. ☐ Amend the Title of the Invention as follows:

5. ☐ Amend the specification by inserting before the first line the sentence:

This application is a of, and claims the benefit of, application Serial No. , filed which status is , which application is hereby incorporated herein in its entirety by reference.

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6. ☐ Priority of foreign application number , filed on in is claimed under 35 U.S.C. § 119.

☐ The certified copy has been filed in prior application Serial No. , filed on .

7. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

8. ☒ A preliminary amendment is enclosed.

9. ☐ New formal drawings are enclosed.

10. ☐ Also enclosed is/are:

11. ☒ The prior application is assigned of record to: Temple University - of the Commonwealth System of Higher Education and University of Utah Research Foundation

12. ☒ Applicant claims small entity status. See 37 C.F.R. § 1.27.

13. The filing fee is calculated as follows:

TOTAL CLAIMS	15 - 20 =	0	x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	x \$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) = 0			+ \$280.00	\$0.00
BASIC FEE =				\$740.00
TOTAL OF ABOVE CALCULATIONS =				\$740.00
REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28)				\$0.00
TOTAL NATIONAL FEE =				\$740.00

14. ☒ A check in the amount of \$740.00 is enclosed.

15. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R. § 1.16 and § 1.17, or credit any overpayment to Deposit Account No. 14-0629.

16. ☒ The Power of Attorney in the prior application is to: Needle & Rosenberg, P.C.

a. ☒ The Power of Attorney appears in the original papers in the prior application. Also included is a Revocation and Substitute Power of Attorney filed in the prior application.

b. ☐ Since the Power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☐ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

David G. Perryman, Esq.
 NEEDLE & ROSENBERG, P.C.
 Suite 1200, The Candler Building
 127 Peachtree Street, N.E.
 Atlanta, GA 30303-1811

17. I hereby verify that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DAVID G. PERRYMAN
REG. NO. 33,438

David G Perryman
SIGNATURE

12-11-01
DATE

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record
☐ Filed under 37 C.F.R. § 1.34(a)
(Registration No. is acting under 37 C.F.R. § 1.34(a):

EXPRESS MAIL NO. EL924206009US

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Invoice No. EL924206009US in an envelope addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 11th day of December, 2001.

Erick Calderon
ERICK CALDERON

12/11/01
DATE